



SUN Docket No.: P4756 US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Foster, Tim S.; Lahiff, Louise Christine
Assignee: Sun Microsystems, Inc.
Title: SOFTWARE PACKAGE VERIFICATION
Serial No.: 09/776,328 Filing Date: February 2, 2001
Examiner: To Be Assigned Group Art Unit: 2122
SUN Docket No.: P4756 US Confirmation No.: 9582

April 11, 2001

Box NO FEE
Commissioner For Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56 and 1.97

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97, Attorneys for Applicant hereby invite the Examiner's attention to the references listed on the accompanying revised PTO Form 1449 entitled List of References Cited by Applicant.

Identification of references listed on the accompanying PTO Form 1449 is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as prior art against the subject application. Further the right is reserved to antedate any included reference in accordance with standard procedure.

This submission is understood to compliment the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made or that the cited references are inclusive of all the relevant and material citations that may be available publicly.

- ____ (New Application) Copies of each cited publication and U.S. and foreign patent, except for pending U.S. applications, are submitted herewith, along with a concise explanation of foreign language publications (if any).
- ____ (Continuation Application) The individual references in the accompanying List of References were cited by Applicants or the U.S. Examiner in the parent application, U.S. Serial No. _____, filed on _____. Pursuant to 37 C.F.R. § 1.98 (d), copies of items are not enclosed but may be found in the file history of U.S. Serial No. _____.

Applicant respectfully requests that the listed references be considered by the Examiner and be made of record in the application identified above. The Examiner is requested to initial and return the enclosed PTO Form 1449 in accordance with MPEP § 609.

- ☒ 37 C.F.R. § 1.97(b). No fee is believed due with this statement, because (check all that apply):
- ☒ (1) It is being filed within 3 months of the application filing date; OR
 - ☐ (2) It is being filed within 3 months of entry of a national stage; OR
 - ☒ (3) It is being filed before the mailing date of the first Office Action on the merits.
- _____ 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:
- ☐ a certification as specified in § 1.97(e) is provided below; OR
 - ☐ a fee of \$240.00 as set forth in 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- _____ 37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:

- A. A certification as specified in §1.97(e) is completed below; and
- B. A petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; and
- C. A fee of \$130.00 as set forth in §1.17(I) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account Number 19-4974.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Assistant Commissioner for Patents, Washington, D. C. 20231, on April 11, 2001.

Anirima R. Gupta
Attorney for Applicant(s)

4/11/01
Date of Signature

Respectfully submitted,

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